

1741

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) & 1.97(c)) | | | Dock t No. JP9-1999-0277-US1 | |
|--|-----------------------------|---------------------|---------------------------------|--|
| In R Application Of: Nakamot and Yamada | | | | |
| <div style="text-align: center;">MAY 07 2002 PATENT & TRADEMARK OFFICE</div> <div style="text-align: right;"># 3 AS</div> | | | | |
| Serial No. 09/681,712 | Filing Date May 24, 2001 | Examiner Unknown | Group Art Unit 1741 6/6/02 | |
| Title: METAL PLATING APPARATUS AND PROCESS | | | | |
| <p style="text-align: center;">Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> <div style="text-align: right;"><div style="border: 1px solid black; padding: 5px; transform: rotate(-15deg); display: inline-block;">COPY OF PAPERS ORIGINALLY FILED</div><div style="margin-top: 20px; text-align: center;">RECEIVED MAY 9 2002 TC 1700</div></div> | | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.98(c))

Docket No.
JP9-1999-0277-US1

In Re Application: Nakamot and Yamada

Serial No.

09/681,712

Filing Date

May 24, 2001

Examiner

Unknown

Group Art Unit

1741

METAL PLATING APPARATUS AND PROCESS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
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Certificate of Transmission by Facsimile*

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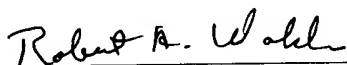
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I certify that this document and fee is being deposited April 26, 2002, with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature

Robert A. Walsh, Reg. No. 26,516

IP Law Department, 972E

IBM Corporation

1000 River Street

Essex Junction, VT 05452

Telephone: 802-769-9521

Dated:

4/25/2002

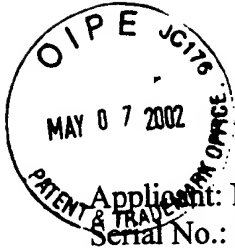
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TC 1700

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicant: Nakamoto and Yamada

Art Unit: 1741

Serial No.: 09/681,712

Examiner: Unknown

Filed: May 24, 2001

Atty. Docket: JP9-1999-0277-US1

Title: METAL PLATING APPARATUS AND PROCESS

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.56, 1.97, 1.98

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicants submit herewith form PTO-1449, listing patents, publications, or other information of which they are aware which they believe may be material to patentability pursuant to 37 CFR 1.56(b), and in respect of which there may be a duty to disclose under 37 CFR 1.56(a), together with legible copies of the patents, publications, or other information listed

While the items submitted with this Information Disclosure Statement may be material to patentability pursuant to 37 CFR 1.56, in accordance with 37 CFR 1.97(h) it shall not be construed to be an admission that any patent, publication, or other information cited is "prior art" or is material to patentability for this invention unless specifically designated as such. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other information material to patentability, as defined in 37 CFR 1.56(b), exists.

Respectfully submitted,

Date: 4/25/2002

By: Robert A. Walsh

Robert A. Walsh, Reg. No. 26,516
IP Law Department
IBM Corp.
1000 River Street
Essex Junction, VT 05452
Tel.: 802-769-9521

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket Number (Optional)

JP9-1999-027 S1

Application Number

09/681712

Applicant(s)

J hji Nakamoto and Tatsuji Yamada

Filing Date

05/24/2001

Group Art Unit

1741

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPR PRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | PUPA07-228992 | 29/08/1995 | JAPAN | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*** Information Materials for **IDS 1**202**

APR 17 2002

To BUR ~~JP~~ IP Law Docket Number JP9-1999-0277 Date Mar./27/2002Prepared by Masanori Suzuki Date of JPO Office Action Mar./19/2002**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

| Ref. | Patent Document No. or Title | Publication Date (MM/DD/YY) | English abs. or counterpart document available (Y/N) | JP claim(s) |
|------|------------------------------|--------------------------------|---|-------------|
| A | | | | |
| B | | | | |
| C | | | | |
| D | | | | |
| E | | | | |
| F | | | | |

<NOTE>

◇ PUPA : Published Unexamined Patent Application

◇ PEPA : Published Examined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEUMA : Published Examined Utility Model Application

◇ JP : Japanese Patent

◇ * : Reference being filed before and published after the priority application date of the subject docket

to be continued ☐**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

| Ref. | Patent Document No. or Title | Ref. | Patent Document No. or Title |
|------|------------------------------|------|------------------------------|
| bgA | PUPA07-228992 | bgD | |
| bgB | | bgE | |
| bgC | | bgF | |

Comment, if any :

to be continued ☐